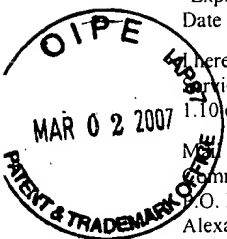


"Express Mail" Label No. EV 829160725  
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PATENT  
Attorney Docket No.: 021756-012800US  
Client Reference No.: OID-2005-162-14



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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

By: Sara B. McPeak  
Sara B. McPeak

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Richard P. Sinn, et al.

Application No.: 09/998,893

Filed: November 30, 2001

For: EMPLOYING ELECTRONIC  
CERTIFICATE WORKFLOWS

Cusomer No.: 51206

Confirmation No.: 4150

Examiner: Tamara Teslovich

Art Unit: 2137

SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT UNDER  
37 CFR §1.97 and §1.98

Mail Stop Amendment AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references (in compliance with the requirements of 37 CFR §1.98(a)(2)) are enclosed.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR §1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no

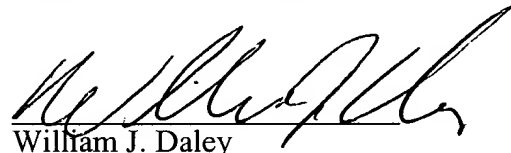
representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance.

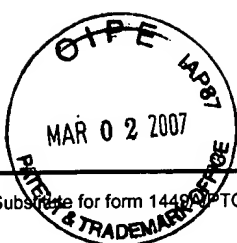
Please charge the IDS fee of \$180 to Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Date: March 2, 2007

  
William J. Daley  
Reg. No. 52,471

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WJD:ng



<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	09/998,893		
		Filing Date	November 30, 2001		
		First Named Inventor			
		Art Unit	2137		
		Examiner Name	Tamara Teslovich		
Sheet	1	of	3	Attorney Docket Number	021756-012800US

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	US-5,901,287 A	05-04-1999	Bull et al.	
	A2	US-6,104,705 A	08-15-2000	Ismail et al.	
	A3	US-6,105,006	08-15-2000	Davis et al.	
	A4	US-6,356,996	03-12-2002	Adams, Phillip M.	
	A5	US-6,487,600 B1	11-26-2002	Lynch, Thomas W.	
	A6	US-2003-0105654 A1	06-05-2003	Macleod et al.	
	A7	US-6,859,823 B1	02-22-2005	Nishihara et al.	
	A8	US-6,986,138	01-10-2006	Sakaguchi et al.	
	A9	US-2006-0195575 A1	08-31-2006	Shawn P. Delany	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	B1							<input type="checkbox"/>
	B2							<input type="checkbox"/>

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			Filing Date	November 30, 2001	
			First Named Inventor		
			Art Unit	2137	
			Examiner Name	Tamara Teslovich	
Sheet	2	of	3	Attorney Docket Number	021756-012800US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C1	Camenisch, Jan et al., "Privacy and Identity Management for Everyone", ACM, 2005, 8 pages	<input type="checkbox"/>
	C2	Microsoft, "Microsoft's Vision for an Identity Metasystem", Microsoft Corporation, <a href="http://www.identityblog.com/stories/2005/07/05/IdentityMetasystem.htm">http://www.identityblog.com/stories/2005/07/05/IdentityMetasystem.htm</a> , printed date October 6, 2006, 9 pages	<input type="checkbox"/>
	C3	PC PRO, "Identity Systems", <a href="http://research.pcpro.co.uk/detail/ORG/1139341311_744.html">http://research.pcpro.co.uk/detail/ORG/1139341311_744.html</a> , printed date October 6, 2006, 2 pages	<input type="checkbox"/>
	C4	Prasad, CK, "Distributing Sun Java System Identity Server Applications Using Java Web Start", Article Index, April 2004, 8 pages	<input type="checkbox"/>
	C5	US Patent Application No. 09/997,408, Office Action dated August 7, 15 pages	<input type="checkbox"/>
	C6	US Patent Application No. 09/997,409, Office Action dated May 3, 2006, 9 pages	<input type="checkbox"/>
	C7	US Patent Application No. 09/997,409, Office Action dated October 20, 2006, 9 pages	<input type="checkbox"/>
	C8	US Patent Application No. 09/998,895, Final Office Action dated July 20, 2006, 22 pages	<input type="checkbox"/>
	C9	US Patent Application No. 09/998,895, Final Office Action dated January 18, 2007, 17 pages	<input type="checkbox"/>
	C10	US Patent Application No. 09/998,898, Office Action dated March, 28, 2006, 7 pages	<input type="checkbox"/>
	C11	US Patent Application No. 09/998,898, Advisory Office Action dated December 29, 2006, 3 pages	<input type="checkbox"/>
	C12	US Patent Application No. 09/998,908, Final Office Action dated August 22, 2006, 25 pages	<input type="checkbox"/>

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				Application Number	09/998,893
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				Art Unit	2137
				Examiner Name	Tamara Teslovich
Sheet	3	of	3	Attorney Docket Number	021756-012800US

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	C13	US Patent Application No. 09/998,910, Office Action dated September 27, 2006, 12 pages	<input type="checkbox"/>
	C14	US Patent Application No. 09/998,916, Advisory Action dated April 5, 2006, 11 pages	<input type="checkbox"/>
	C15	US Patent Application No. 09/998,916, Office Action dated September 5, 2006, 20 pages	<input type="checkbox"/>
	C16	US Patent Application No. 09/998,926, Office Action dated September 11, 2006, 19 pages	<input type="checkbox"/>
	C17	US Patent Application No. 10/145,684, Office Action dated June 30, 2006, 25 pages	<input type="checkbox"/>
	C18	US Patent Application No. 10/146,150, Office Action dated May 30, 2006, 18 pages	<input type="checkbox"/>
	C19	US Patent Application No. 10/146,150, Advisory Office Action dated December 5, 2006, 13 pages	<input type="checkbox"/>
	C20	Wahl, M., "Informed Control Enabling Identity Interoperability", <a href="http://www.ldap.com/1/commentary/wahl/20050203_01.shtml">http://www.ldap.com/1/commentary/wahl/20050203_01.shtml</a> , Feb 3, 2005, 3 pages	<input type="checkbox"/>

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